

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Antibody Conjugate Methods for Selectively Inhibiting VEGF**, the Specification of which:

☐ is attached hereto.
☒ was filed on **April 28, 2000** as Application Serial No. **09/561,005**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
			Yes
			Yes/No
60/131,432	U.S.	April 28, 1999	
(Number)	(Country)	(Date Filed)	
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 365 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A		
(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name:	Philip	E.	Thorpe
Inventor's Signature:	<i>Philip E. Thorpe</i>		
Country of Citizenship:	U.K.		Date: <i>May 24, 2000</i>
Residence Address: (street, number, city, state, and/or country)	6722 Lakewood Blvd., Dallas, Texas 75214		
Post Office Address: (if different from above)			

Inventor's Full Name:	Rolf	A.	Brekken
Inventor's Signature:			
Country of Citizenship:	U.S.		Date:
Residence Address: (street, number, city, state, and/or country)	14304 25 th Avenue NE, Seattle, WA 98125		
Post Office Address: (if different from above)	Same		

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Antibody Conjugate Methods for Selectively Inhibiting VEGF**, the Specification of which:

☐ is attached hereto.
☒ was filed on **April 28, 2000** as Application Serial No. **09/561,005**.

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60/131,432	U.S.	April 28, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No
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N/A		
(Application Serial No.)	(Filing Date)	(Status)
<hr/>		
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Inventor's Full Name:	Philip	E.	Thorpe
Inventor's Signature:			
Country of Citizenship:		Date:	
Residence Address: (street, number, city, state, and/or country)	6722 Lakewood Blvd., Dallas, Texas 75214		
Post Office Address: (if different from above)			


Inventor's Full Name:	Rolf	A.	Brekken
Inventor's Signature:	<i>Rolf Brekken</i>		
Country of Citizenship:	U.S.	Date:	<i>6/8/00</i>
Residence Address: (street, number, city, state, and/or country)	14304 25 th Avenue NE, Seattle, WA 98125		
Post Office Address: (if different from above)	Same		

CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

January 29, 2003

Date


Shelley P.M. Fussey

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Philip E. Thorpe and Rolf A. Brekken

Serial No.: 09/561,005

Filed: April 28, 2000

For: ANTIBODY CONJUGATE METHODS
FOR SELECTIVELY INHIBITING VEGF

Group Art Unit: 1642

Examiner: Yaen, C.

Atty. Dkt. No.: 3999.002585

CHANGE OF STATUS FROM SMALL ENTITY TO LARGE ENTITY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby request a change of status from small entity to large entity for the above-identified matter. Change of status occurred when the former small-entity licensee sub-licensed and/or provided an option to license the above-identified invention to a large entity since the last fee payment.

Respectfully submitted,



Shelley P.M. Fussey, Ph.D.
Reg. No. 39,458
Agent for Appellants

WILLIAMS, MORGAN & AMERSON, P.C.
10333 Richmond, Suite 1100
Houston, Texas, 77042
(713) 934-4079

Date: January 29, 2003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Philip E. Thorpe and Rolf A. Brekken

Serial No.: 09/561,005

Filed: April 28, 2000

For: Antibody Conjugate Methods for
Selectively Inhibiting VEGF

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: 4001.002585

**ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73
AND POWER OF ATTORNEY**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Danny L. Williams, Reg. No. 31,892; Terry D. Morgan, Reg. No. 31,181; J. Mike Amerson, Reg. No. 35,426; Kenneth D., Goodman, Reg. No. 30,460; Barbara S. Kitchell, Reg. No. 33,928; Jeffrey A. Pyle, Reg. No. 34,904; Randall C. Furlong, Reg. No. 35,144; Scott F. Diring, Reg. No. 35,119; George J. Oehling, Reg. No. 40,471; Shelley P.M. Fussey, Reg. No. 39,458; Mark D. Moore, Reg. No. 42,903; Carolanne M. King, Reg. No. 44,914; Louis H. Iselin, Reg. No. 42,684; and Raymund F. Eich, Reg. No. 42,508;

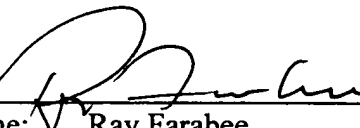
each an attorney or agent of the firm of WILLIAMS, MORGAN & AMERSON, P.C., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to Board of Regents, The University of Texas System, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Shelley P.M. Fussey
WILLIAMS, MORGAN & AMERSON, P.C.
7676 Hillmont, Suite 250
Houston, Texas 77040
(713) 934-7000

ASSIGNEE:
BOARD OF REGENTS, THE
UNIVERSITY OF TEXAS SYSTEM

By: 
Name: ☒ Ray Farabee
Title: Vice President and General Counsel

Date: June 14, 2000

ASSIGNMENT:

- ☒ Concurrently filed
☐ Previously recorded

Date:

Reel:

Frames: _ _

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Board of Regents, The University of Texas system (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/561,005 which has been filed on April 28, 2000 and is entitled "Antibody Conjugate Methods for Selectively Inhibiting VEGF", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

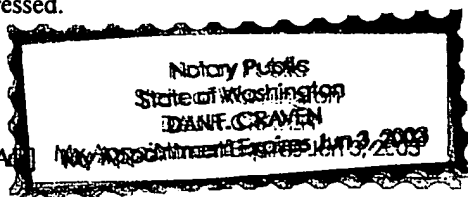
TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Rolf A. Brekken
Name: Rolf A. Brekken

Date: 6/8/2000

STATE OF WASHINGTON)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this 08th day of JUNE, 2000, personally appeared Rolf A. Brekken, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[Signature]
Notary or Consular Officer

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Board of Regents, The University of Texas system (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/561,005 which has been filed on April 28, 2000 and is entitled "Antibody Conjugate Methods for Selectively Inhibiting VEGF", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Philip E. Thorpe
Name: Philip E. Thorpe

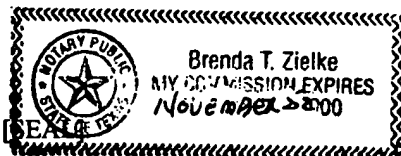
Date: May 24, 2000

STATE OF TEXAS

COUNTY OF DALLAS

)
) ss.
)

BEFORE ME, the undersigned authority, on this 24th day of MAY, 2000, personally appeared Philip E. Thorpe, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Brenda T. Zielke
Notary or Consular Officer